

## Lecture 16 — March 6, 2025

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Last class, we saw how to generate a MAC using a hash function like SHA-1. Another way of generating MAC is to prepend the key to a message and use a hash function based on the sponge construction like SHA-3, which is not susceptible to a length extension attack, as knowledge of  $h_{k(x)}$  doesn't help you compute  $h_{k(x||x')}$  unlike in the merkel-damgard construction.

Another popular way of constructing a MAC uses a block cipher in CBC mode with a fixed IV. Recall in CBC mode each ciphertext block  $y_i$  is XORed with the next plaintext before encryption, so far  $x = x_1, \dots, x_n$ . We have

$$y_0 = \text{IV} \quad (1)$$

$$y_1 = e_k(y_0 \oplus x_1) \quad (2)$$

$$\vdots \quad (3)$$

$$y_n = e_k(y_{n-1} \oplus x_n) \quad (4)$$

CBC-MAC( $x, k$ ) are discarded. The best known attack in CBC-MAC is a birthday chosen message attack. Eve requests the tags of a large number of messages and if a duplicate is ever found, Eve needs only one more request in order to forge a tag.

Suppose the block length is  $t$  and let  $x_3, x_4, \dots, x_n \in \mathbb{Z}_2^t$  be fixed. Eve choses  $Q$  distinct elements of  $\mathbb{Z}_2^t$  (where  $Q \approx 1.17\sqrt{2^t}$ ) and call them  $x_1^1, x_2^2, \dots, x_Q^Q$ ; these will form the first block of the messages she'll construct. Also let  $x_1^2, \dots, x_2^2$  be chosen randomly in  $\mathbb{Z}_2^t$  (these will be the  $2^{nd}$  blocks).

Now define

$$x^i = x_1^i \parallel x_2^i \parallel x_3 \parallel x_4 \parallel \dots \parallel x_n \quad (5)$$

for  $1 \leq i \leq Q$ . Eve requests tags for all  $x^i$ , and with 50% chance 2 have the same tag.

In the process of finding the tags for  $x_i$ , the oracle will find the values  $y_0^i, \dots, y_{n(i)}^i$  and output  $y_n^i$  as the tag. Suppose 2 tags match i.e.  $y_n^i = y_n^j$  for  $1 \leq i < j \leq Q$ . Because the last  $n-2$  blocks of the  $x_s^i$  are identical, this implies that

$$y_2^i = y_2^j, \wedge \quad (6)$$

$$y_2^i = e_k(y_1^i \oplus x_2^i) \wedge y_2^j = e_k(y_1^j \oplus x_2^j) \quad (7)$$

so applying  $Q_k$  to both sides, we get

$$y_1^i \oplus x_2^i = y_1^j \oplus x_2^j \quad (8)$$

. Since  $x_2^i \wedge x_2^j$  were chosen randomly, with  $\frac{1}{2}$  probability this will happen. Now let  $\delta \in \mathbb{Z}_2^t$  be a nonzero bitstring. XOR  $\delta$  with second block of  $x^i \wedge x^j$  to get

$$u = x_1^i \parallel (x_2^i \oplus \delta) \parallel x_3 \parallel \dots \parallel x_n \quad (9)$$

$$x = x_1^j \parallel x_2^j \oplus \delta \parallel x_3 \parallel \dots \parallel x_n \quad (10)$$

Eve requests the tag for  $u$ , but then can use it as forgery for the tag of  $v$ , since  $u$  and  $v$  have the same tag. Note  $u \neq v$  since  $x_1^i \neq x_1^j$ . This attack is a  $\left(\frac{1}{2}, O\left(2^{\frac{t}{2}}\right)\right)$  - forger. So far, we've only used a MAC to provide data integrity, but it is often used with encryption, achieving secrecy as well. This is called **authenticated encryption**, and there are 3 obvious ways to combine a MAC with encryption. (We'll use separate keys for the MAC and encryption.)

1. MAC-and-encrypt

For message  $x$ , compute  $z = h_{k_1}(x)$  and  $y = e_{k_2}(x)$  and send  $(y, z)$ . Bob decrypts  $y$  and checks  $z$  is a valid tag for  $y$ 's encryption.

2. MAC-then-encrypt

Still  $z = h_{k_1}(x)$  but the plaintext to encrypt incorporates the  $z$ :  $y = e_{k_2}(x \parallel z)$ , and only  $y$  is sent. Bob decrypts  $y$  to get  $x \parallel z$  and checks  $z$  is the tag of  $x$ .

3. Encrypt-then-MAC

Now first compute  $y = e_{k_2}(x)$  and then the tag is  $z = h_{k_1}(y)$ , and send  $(y, z)$ . Bob checks  $z$  is the tag of  $y$ , and if so, will decrypt  $y$ .

Method 3 is usually the best it can be shown if the MAC and encryption are individually secure, then the method is also secure, but this is not always true in methods 1 and 2. Also, only in method 3 can decryption be skipped if the tag is invalid. The CCM (counter with CBC-MAC) mode is a NIST standard providing authenticated encryption. It combines CTR mode a tag computed by CBC-MAC.

Suppose  $x = x_1, \dots, x_n$  is the plaintext with each  $x_i \in \mathbb{Z}_2^m$ . As in CTR mode, a initial value  $\text{ctr}$  is chosen by Alice and sent to Bob in plaintext. It is important that  $\text{ctr}$  is never repeated with same key, otherwise Eve can learn the XOR of your messages encrypted with same key and  $\text{ctr}$ .

Starting from  $\text{ctr}$ , we construct  $T_0, \dots, T_n$  with  $T_i = (\text{ctr} + i) \bmod 2^m$ . The ciphertext blocks are encrypted with

$$y_i = x_i \oplus e_k(Y_i). \quad (11)$$

Then compute the tag  $\text{temp} = \text{CBC-MAC}(x, k)$  and  $y' = \text{temp} \oplus e_k(T_0)$ . The encrypted tag is appended to the ciphertext,  $y = y_1 \parallel \dots \parallel y_n \parallel y'$ . Bob computes  $e_{k(T_0)}, \dots, e_{k(T_n)}$  and then finds  $x_i = y_i \oplus e_{k(T_i)} \dots x_n = y_n \oplus e_{k(T_n)}$ , obtaining  $x$ . Then Bob computes  $\text{CBC-MAC}(x, k)$  and XORs it with  $e_{k(T_0)}$  to check the tag is valid.

We now discuss public-key cryptography and the RSA cryptosystem, the first example of a cryptosystem where the encryption and decryption keys are different, with the encryption key publically known. Thus, anyone can securely send a message to anyone for which they have the public key. When Alice wants to send a message to Bob, it is essential she has his actual public key and not an attacker's. In practice, public keys are digitally signed using certificates to verify their authenticity.