

The output of the absorbing phase is  $x_{K+1} \oplus x_k \parallel y_{k+1} = f(0^r \parallel y_k)$  and since  $y_h = y_k$ , this is

$$= f(0^r \parallel y_n) \quad (1)$$

$$= x_{h+1} = y_{h+1} \quad (2)$$

Thus, the output of the absorbing phase for both  $m$  and  $m'$  is the same, even though  $m \neq m'$ , so  $(m, m')$  is a collision. If  $l$  is particularly small, we also have the option of a birthday attack in the hash function directly, which uses  $\approx \sqrt{2^l}$  evaluations to find a collision. SHA-3 512 uses the sponge construction with  $b = 1600$ ,  $r = 576$ ,  $c = 1024$  so has collision security of 256 and preimage security of 512 bits. That is we expect  $2^{256}$  ops to find a collision and  $2^{512}$  ops to find a preimage of any hash. The SHA3 family supports “extendable output” for which the output length is controllable, e.g. in the hash function SHAKE256.

## Message Authentication Codes (MACs)

MACs ensure integrity of a message - Alice sends a message to Bob and appends a tag, depending on a private key shared by Alice & Bob. If the message was corrupted, that would be detected, as the tag would no longer match. A common way of constructing a MAC is to use an unkeyed hash function and incorporate a secret key as a part of the message to be hashed. However, this must be done carefully we'll show how to break some simple approaches of this.

Suppose we make a keyed hash function  $h_k$  by taking an iterated hash function  $h$  and setting  $IV = K$ , keeping  $k$  secret. Say  $x$  has a length that is a multiple of  $t$  and  $c : \mathbb{Z}_2^{m+t} \rightarrow \mathbb{Z}_2^m$  is the compression function to build  $h$ . We also assume  $K$  has  $m$  bits. We'll show if an adversary has a single message  $x$  and a valid tag  $t = h_k(x)$ , they will be able to generate a valid tag for other messages. Let  $x'$  be any bitstring of length  $t$ , and consider  $m = x \parallel x'$ . Its tag is  $h_k(x \parallel x') = c(h_k(x) \parallel x')$  so  $h_k(m) = c(t \parallel x')$ , and Eve knows  $c, t, x'$ . Thus,  $(m, t)$  is a valid pair that is a forgery of Eves done without knowing the key  $k$ . Even if  $|x|$  is not divisible by  $t$ , a similar attack can be used. Say  $y = x \parallel \text{pad}(x)$  is the padding of  $x$  in the preprocessing step. Here  $|y| = rt$  for some integer  $r$ . Take  $w$  any bitstring of length  $t$ , and define  $x' = x \parallel \text{pad}(x) \parallel w$ . The preprocessing step for this  $x'$  would give

$$y' = x' \parallel \text{pad}(x') = x \parallel \text{pad}(x) \parallel w \parallel \text{pad}(x') \quad (3)$$

$$= y_1 y_2 \dots y_{r'} \text{ where } |y_i| = t \text{ and } r' < r. \quad (4)$$

Note  $h_{k(x)} = z_r$  where

$$z_r = c(z_{r-1} \parallel y_r) \quad (5)$$

$$z_{r-1} = c(z_{r-2} \parallel y_{r-1}) \quad (6)$$

$$\vdots \quad (7)$$

$$z_0 = \text{IV} = K \quad (8)$$

. Then Eve can compute

$$z_{r+1} = c(h_{k(x)} \parallel y_{r+1}) \quad (9)$$

$$z_{r+2} = c(z_{r+1} \parallel y_{r+2}) \quad (10)$$

$$\vdots \quad (11)$$

$$z_{r'} = c(z_{r'-1} \parallel y_{r'}) = h_{k(x')} \quad (12)$$

So,  $(x', z_{r'})$  is a forgery from Eve, even though  $K$  is unknown and there is no assumption on the padding length. So, in general we want to avoid letting an adversary compute a message-tag pair  $(x, y)$  for unknown key given prior valid pairs

$$(x, y) \quad (13)$$

$$\dots, \quad (14)$$

$$(x_Q, y_Q) \quad (15)$$

using the same key. The pairs may be pairs observed by Eve, in which case it is a known message attack or in a chosen message attack Eve has access to a “tag oracle” and can generate tags for messages  $x_1, \dots, x_Q$  that Eve chooses. Here  $x \neq x_1, \dots, x_Q$  and  $(x, y)$  is said to be a forgery. If the probability of a forgery is at least  $\varepsilon$  the adversary is said to be a  $(\varepsilon, Q)$ -forger. (In known message attack, the attack should work with probability  $\varepsilon$  for regardless of the message seen). The attacks we just saw are therefore  $(1, 1)$ -forgery attacks. Another obvious attack is to choose a key  $k \in \mathbb{K}$  at random and output  $(x, h_k(x))$  for arbitrary  $x$ . This would be a  $(\frac{1}{|\mathbb{K}|}, 0)$ -forgery attack.

One standardized MAC is algorithm called HMAC constructs a MAC from a unkeyed hash function like SHA-1. The version we'll describe uses a 512 bit key  $k$  and 512-bit constants  $\text{ipad} = 36 \dots 36$  and  $\text{opad} = 5C \dots 5C$  (written in hex). If  $x$  is the message to be authenticated, the 160 bit MAC is computed as

$$\text{HMAC}_{k(x)} = \text{SHA-1}((k \oplus \text{opad}) \parallel \text{SHA-1}((k \oplus \text{ipad}) \parallel x)) \quad (16)$$

One can a fixed-size message and a collision-resistant hash function. It is also very efficient, as it uses only one call to SHA-1 on a long message (the “outer”) SHA-1 takes constant time as it is on messages of length  $512 + 160$ .