

# Computational Mathematics: Handout 12

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March 7, 2024

## 1 Factoring Polynomials

In this handout we cover how to factor polynomials—in particular, we give a polynomial time algorithm for factoring polynomials whose coefficients are in a finite field. That is, given an  $f \in \mathbb{F}_q[x]$ , write it as the decomposition

$$f = f_1 \cdot f_2 \cdots f_n$$

where  $f_1, \dots, f_n$  are irreducible polynomials. An *irreducible* polynomial is one that cannot be written as a nontrivial product, i.e.,  $f$  is irreducible exactly when  $f = gh$  implies at least one of  $g$  and  $h$  are a constant polynomial. Because the coefficients are from a field, all of the leading coefficients of the polynomials  $f_i$  are invertible. Thus, one can write the decomposition as

$$f = cf_1 \cdot f_2 \cdots f_n \tag{*}$$

where  $c \in \mathbb{F}_q$  and all the  $f_i$  are *monic* (have a leading coefficient of 1). We consider the factorization problem as finding the decomposition (\*) given  $f$ . By dividing both sides by  $c$  we also make the leading coefficient on the left-hand side also 1. Thus, without loss of generality we will assume that the  $f$  to factor is monic.

Throughout this handout the reader can think of  $\mathbb{F}_q$  as being  $\mathbb{Z}_p$  (i.e., the field of residues modulo a prime  $p$ ), as  $\mathbb{Z}_p$  is the simplest kind of finite field. However, the algorithms we discuss will also work in general finite fields  $\mathbb{F}_q$ .

### 1.1 Roadmap

We will break the problem of factoring  $f \in \mathbb{F}_q[x]$  into various special cases. One important special case is to handle the case when  $f$  is *squarefree*, meaning that in the decomposition  $f = \prod_i f_i$  all of the  $f_i$  are distinct. That is, a polynomial is squarefree when it is not divisible by the square any polynomial (except constant polynomials). For example,  $f = (x + 1)^2$  is not squarefree and  $f = (x + 1)(x - 1)$  is squarefree over any field  $\mathbb{Z}_p$  with  $p > 2$ .

A second special case of the factoring problem is to  $f$  into *distinct-degree factors*, i.e., write  $f$  as  $f = g_1 \cdots g_n$  where  $g_i$  is the product of all irreducible factors of degree  $i$ . For example, if  $f = (x + 1)^2(x - 1)(x^2 + 3)(x^3 + x + 1) \in \mathbb{F}_5[x]$  then  $g_1 = (x + 1)^2(x - 1)$ ,  $g_2 = x^2 + 3$ ,  $g_3 = x^3 + x + 1$ , and  $g_4 = \cdots = g_8 = 1$ .

The third special case is to factor the  $g_i$  that appear in the distinct-degree factorization. That is, given a  $g_i \in \mathbb{F}_q[x]$  of degree  $d$  whose irreducible factors are all guaranteed to be of a known degree  $i$  (and hence there must be  $d/i$  of them), find all  $d/i$  irreducible factors  $g_i = h_1 \cdots h_{d/i}$ . For example, given  $g_1 = x^3 + x^2 - x - 1 \in \mathbb{F}_5[x]$  (which is a product of only linear factors), find its decomposition into linear factors  $g_1 = (x + 1)^2(x - 1)$ .

## 1.2 Distinct-degree Factorization

First, we consider the problem of taking a squarefree monic  $f \in \mathbb{F}_q[x]$  of degree  $n$  and writing it as the product  $g_1 \cdots g_n$  where  $g_i$  is the product of the irreducible polynomials of degree  $i$  dividing  $f$ . Our algorithm for doing this will be based on a generalization of Fermat's little theorem in  $\mathbb{F}_q[x]$ .

### 1.2.1 Fermat's Little Theorem

Recall Fermat's little theorem says if  $p$  is prime then  $a^p \equiv a \pmod{p}$ , i.e., all  $a \in \mathbb{Z}_p$  are roots of the polynomial  $x^p - x \in \mathbb{Z}_p[x]$ . In fact, over general finite fields  $\mathbb{F}_q$  one still has that all  $a \in \mathbb{F}_q$  are roots of  $x^q - x$ . Thus, Fermat's little theorem can equivalently be written as

$$x^q - x = \prod_{a \in \mathbb{F}_q} (x - a).$$

Thus, given  $f$  one can compute  $g_1$ , the product of all linear factors of  $f$ , via  $g_1 := \gcd(f, x^q - x)$ .

Generalizing this, one can also show that

$$x^{q^2} - x = \prod_{\substack{\alpha \in \mathbb{F}_q[x], \text{ irred.} \\ \deg(\alpha) \leq 2}} \alpha(x).$$

It follows that once the linear factors of  $f$  have been removed (by dividing by  $g_1$ ) one can find  $g_2$ , all the quadratic factors of  $f/g_1$ , via  $g_2 := \gcd(f/g_1, x^{q^2} - x)$ .

This process can be generalized; the proper generalization of Fermat's last theorem that enables finding all irreducible factors of degree  $d \geq 1$  is

$$x^{q^d} - x = \prod_{\substack{\alpha \in \mathbb{F}_q[x], \text{ irred.} \\ \deg(\alpha) | d}} \alpha(x).$$

Once all factors of  $f$  less than degree  $d$  have been removed from  $f$  (via  $f \cdot \prod_{i < d} g_i^{-1}$ ) all irreducible factors of degree exactly  $d$  is found with  $g_d := \gcd(f \cdot \prod_{i < d} g_i^{-1}, x^{q^d} - x)$ .

### 1.2.2 Efficiency

How efficient would this be? If it was computed directly it would be an issue, since it would require  $O(nq^d)$  field operations for computing  $\gcd(f, x^{q^d} - x)$  where  $\deg(f) = n$ . Since  $d = \Theta(n)$  in the worst case, computing this gcd would take exponential time in  $n$ . Thus, this proposed approach is seemingly totally infeasible.

A simple observation reduces the exponential running time to a polynomial one. Note that when  $\gcd(f, x^{q^d} - x)$  the polynomial does not need to be constructed explicitly; it is enough to construct the polynomial  $x^{q^d} - x \pmod{f}$  which has degree at most  $n$ . At first this might not seem useful, since computing  $x^{q^d} - x \pmod{f}$  directly would also require exponential time.

However, we can use repeated squaring in order to compute the modular exponentiation  $x^{q^d} \pmod{f}$  efficiently. Also, since

$$x^{q^d} \pmod{f} = (x^{q^{d-1}} \pmod{f})^q \pmod{f}$$

and  $x^{q^{d-1}} \bmod f$  was used on the previous iteration (in order to extract the factors of  $d - 1$  from  $f$ ). Thus, on the  $i$ th iteration one can save  $x^{q^i} \bmod f$  and reuse it on iteration  $i + 1$  without needed to recompute it.

### 1.2.3 Pseudocode

Input: Squarefree and monic  $f \in \mathbb{F}_q[x]$  of degree  $n$

$h := x, f_{\text{orig}} := f$

for  $i$  from 1 to  $n$ :

$h := h^q \bmod f_{\text{orig}}$

$g_i := \gcd(h - x, f)$

$f := f / g_i$

return  $g_1, \dots, g_n$

### 1.2.4 Analysis

Assuming naive multiplication, the first operation in the loop uses  $O(n^2 \log q)$  field operations and the last two operations in the loop use  $O(n^2)$  field operations. Thus, the total cost is  $O(n^3 \log q)$  field operations. With fast multiplication and fast gcd algorithms this cost can be brought down to  $O^\sim(n^2 \log q)$ .

## 1.3 Equal-degree factorization

Next, we consider the problem of splitting a polynomial whose irreducible factors are all of the same degree (like  $g_i$  from above). That is, given a squarefree monic  $f \in \mathbb{F}_q[x]$  of degree  $n$  and the knowledge that all of  $f$ 's irreducible factors have degree  $d$  we want to decompose  $f$  as  $f = g_1 \cdots g_k$  where  $k = n/d$ . In fact, it will be sufficient to develop an algorithm that can find any nontrivial factorization  $f = g_1 \cdot g_2$  with neither  $g_1$  or  $g_2$  a constant polynomial, because then the problem is split into two smaller subproblems and we can run our splitting algorithm on both  $g_1$  and  $g_2$  separately.

In this section we assume that  $q$  is odd, though a similar algorithm can be developed for the even case.

### 1.3.1 The Chinese Remainder Theorem

The Chinese Remainder Theorem says that solving a modular equation mod  $n = p_1 \cdots p_k$  (where the  $p_i$  are distinct primes) is equivalent to solving the equation mod each prime  $p_i$  individually:

$$f(x) \equiv 0 \pmod{n} \iff \left\{ \begin{array}{l} f(x) \equiv 0 \pmod{p_1} \\ \vdots \\ f(x) \equiv 0 \pmod{p_k} \end{array} \right\}.$$

It also provides an efficiently-computable way to take a solution of the system of equations on the right-hand side and translate it into a solution on the left-hand side. For example, if  $x \equiv a_i$

$(\text{mod } p_i)$  for  $1 \leq i \leq k$ , it tells you how to find an  $x \in \mathbb{Z}_n$  so that  $x \equiv a \pmod{n}$ . In ring theoretic terms this means that the ring  $\mathbb{Z}_n$  is equivalent to the “direct product” of the rings  $\mathbb{Z}_{p_i}$ :

$$\begin{aligned} \mathbb{Z}_n &\cong \mathbb{Z}_{p_1} \times \mathbb{Z}_{p_2} \times \cdots \times \mathbb{Z}_{p_k} \\ &\text{via the mapping} \\ x &\mapsto (x \text{ mod } p_1, x \text{ mod } p_2, \dots, x \text{ mod } p_k) \end{aligned}$$

In fact, this idea applies to more than the integers modulo  $n$ ; the exact same relationship holds for polynomials modulo  $f = g_1 \cdots g_k$  where the  $g_i$  are distinct irreducible polynomials. Just like  $\mathbb{Z}_n$  is the set of integers with arithmetic performed modulo  $n$  (this is also denoted by  $\mathbb{Z}/n\mathbb{Z}$  or  $\mathbb{Z}/(n)$ ), the set of polynomials  $\mathbb{F}_q[x]$  with arithmetic performed modulo  $f$  is denoted by  $\mathbb{F}_q[x]/(f)$ . Then the Chinese Remainder Theorem for polynomials says that

$$\begin{aligned} \mathbb{F}_q[x]/(f) &\cong \mathbb{F}_q[x]/(g_1) \times \mathbb{F}_q[x]/(g_2) \times \cdots \times \mathbb{F}_q[x]/(g_k) \\ &\text{via the mapping} \\ f &\mapsto (f \text{ mod } g_1, f \text{ mod } g_2, \dots, f \text{ mod } g_k). \end{aligned}$$

**The structure of  $\mathbb{F}_q[x]/(g)$**  What does the arithmetic of  $\mathbb{F}_q[x]/(g)$  look like when  $g$  is an irreducible polynomial? In fact, every nonzero polynomial in  $h \in \mathbb{F}_q[x]/(g)$  has an inverse  $h^{-1}$  which can be computed by solving  $h\alpha = 1$  for  $\alpha$  in  $\mathbb{F}_q[x]/(g)$ , i.e., solving  $h\alpha + g\beta = 1$  for  $\alpha, \beta \in \mathbb{F}_q[x]$ . We know how to solve this using the extended Euclidean algorithm on  $h, g \in \mathbb{F}_q[x]$ , assuming that  $h$  and  $g$  are coprime. (Which they are, since  $g$  is irreducible and  $\deg(h) < \deg(g)$ .)

Thus,  $\mathbb{F}_q[x]/(g)$  is a field! When  $g$  has degree  $d$ , the field has the  $q^d$  elements  $\{\sum_{i < d} c_i x^i : c_0, \dots, c_{d-1} \in \mathbb{F}_q\}$ .

### 1.3.2 Fermat’s Little Theorem in a field

Fermat’s Little Theorem also applies to any finite field  $\mathbb{F}$ ; if  $\mathbb{F}$  has  $q^d$  elements and  $a \in \mathbb{F}$  then  $a^{q^d-1} = 1$ . The original version of Fermat’s Little Theorem is recovered when  $q$  is prime and  $d = 1$  (so  $\mathbb{F} = \mathbb{Z}_p$ ).

Moreover, since the nonzero elements of any finite field form a cyclic group, what we called the “square root” of Fermat’s Little Theorem also holds in any finite field. That is, if  $\mathbb{F}$  has  $q^d$  elements and  $a \in \mathbb{F}$  then  $a^{(q^d-1)/2} = \pm 1$ . (This is where we assume that  $q$  is odd, so that  $(q^d - 1)/2$  is an integer.)

### 1.3.3 Applying Fermat’s Little Theorem

Now let’s go back to the problem of factoring  $f \in \mathbb{F}_q[x]$  of degree  $n$  where we know that all of its irreducible factors  $g_i$  are of the same degree  $d$ . Suppose we choose a random  $\alpha \in \mathbb{F}_q[x]$  of degree less than  $n$ . If we compute  $\alpha^{q^d-1} \text{ mod } f$  what will we get? Note that Fermat’s Little Theorem doesn’t apply directly here, since  $f$  is not irreducible and hence  $\mathbb{F}_q[x]/(f)$  is not a field. However, the Chinese Remainder Theorem allows us to write  $\mathbb{F}_q[x]/(f)$  as a direct product of fields where Fermat’s Little Theorem *does* apply.

By the Chinese Remainder Theorem, computing  $\alpha^{q^d-1} \text{ mod } f$  is essentially equivalent to computing

$$(\alpha^{q^d-1} \text{ mod } g_1, \dots, \alpha^{q^d-1} \text{ mod } g_k),$$

and because each  $g_i$  is specifically known to be irreducible and of degree  $d$ , by Fermat's Little Theorem the above vector of residues is  $(1, 1, \dots, 1)$ , at least assuming that  $\alpha$  is coprime to each  $g_i$ . Since  $\alpha$  was chosen randomly, it is likely  $\alpha$  is coprime to each  $g_i$ . However, if this is not the case then things are even easier, since a factor of  $f$  can be recovered by  $\gcd(\alpha, f)$ . Thus, we can assume that  $\alpha$  and each  $g_i$  are coprime.

Thus, assuming  $\alpha$  is coprime to  $f$  we do in fact have  $\alpha^{p^d-1} = 1$  in  $\mathbb{F}_q[x]/(f)$ , because  $\alpha^{p^d-1} = 1$  in each of  $\mathbb{F}_q[x]/(g_i)$  for  $1 \leq i \leq k$ .

### 1.3.4 Splitting $f$

We saw above that  $\alpha^{p^d-1} = 1$  in  $\mathbb{F}_q[x]/(f)$ . What about the square root  $\alpha^{(p^d-1)/2}$  in  $\mathbb{F}_q[x]/(f)$ ? Note that this is **not** necessarily  $\pm 1$ , since recall that  $\mathbb{F}_q[x]/(f)$  is **not** a field. In general rings (that are not fields), the identity 1 may have more square roots than just 1 and  $-1$ .

Again, we can use the Chinese Remainder Theorem to evaluate what  $\alpha^{(p^d-1)/2} \bmod f$  is. This is essentially equivalent to computing

$$(\alpha^{(q^d-1)/2} \bmod g_1, \dots, \alpha^{(q^d-1)/2} \bmod g_k),$$

which by the square root of Fermat's Little Theorem is a vector whose entries are all  $\pm 1$ . If by chance this vector is  $(-1, -1, \dots, -1)$  then it **will** be the case that  $\alpha^{(q^d-1)/2} = -1$  in  $\mathbb{F}_q[x]/(f)$ . However, this is quite unlikely, especially if  $k$  is large. In fact, since  $\alpha$  was chosen randomly, we expect that 50% of the entries of the vector will be 1 and 50% of the entries of the vector will be  $-1$ . If there is at least one 1 and  $-1$  entry in the vector, then  $\alpha^{(q^d-1)/2} \neq \pm 1$  in  $\mathbb{F}_q[x]/(f)$ .

The scenario when  $\alpha^{(q^d-1)/2} \bmod f \neq \pm 1$  is the one that is beneficial, because that means that  $\alpha^{(q^d-1)/2} \bmod g_i = 1$  for some  $i$  and  $\alpha^{(q^d-1)/2} \bmod g_j = -1$  for some  $j$ . In other words, we have that  $g_j$  divides  $\alpha^{(q^d-1)/2} - 1$  and  $g_i$  does not divide  $\alpha^{(q^d-1)/2} - 1$ . Thus,  $\gcd(\alpha^{(q^d-1)/2} - 1, f)$  reveals a nontrivial factor of  $f$ , since it definitely includes  $g_j$  but not  $g_i$ .

### 1.3.5 Psuedocode

Input: Squarefree and monic  $f \in \mathbb{F}_q[x]$  of degree  $n$  and  $d$ , the degree of all irreducible factors of  $f$

Choose  $\alpha \in \mathbb{F}_q[x]$  randomly of degree less than  $n$ .

If  $g := \gcd(\alpha, f)$  is nontrivial, then  $f$  is split by  $f = g \cdot (f/g)$ .

Compute  $A := \alpha^{(q^d-1)/2} \bmod f$ .

If  $g := \gcd(A - 1, f)$  is nontrivial, then  $f$  is split by  $f = g \cdot (f/g)$ .

If  $g$  is trivial, then repeat the algorithm with another random  $\alpha$ .

### 1.3.6 Analysis

The running time of the algorithm is dominated by the computation of  $A$ , which using repeated squaring requires  $O(d(\log q)n^2)$  operations in  $\mathbb{F}_q$ . How many times do we expect to get unlucky, though? In the worst case  $f$  has exactly two irreducible factors, i.e.,  $d = n/2$ . In this case we expect 50% of the time  $A$  will be 1 or  $-1$  which gives a trivial gcd. However, 50% of the time  $A$

will not be  $\pm 1$  and as we saw above this results in a nontrivial  $g$  being found. Thus, even in the worst case we do not expect to have to try too many random  $\alpha$  before a factor is found.

In order to completely factor  $f$ , the above algorithm must be called recursively at most  $n/d$  times, one for each factor of  $f$ . In the worst case, every time a factor  $g$  is recovered it would be of degree exactly  $d$ , meaning that  $g$  itself is irreducible and only a single recursive call needs to be made on  $f/g$ , a polynomial of degree  $n - d$ . In such a case the total cost of splitting  $f$  completely would be  $n/d$  times  $O(dn^2 \log q)$ , which is  $O(n^3 \log q)$ .

However, since  $\alpha$  was chosen randomly, it is expected that  $g$  will contain about half of the irreducible factors of  $f$  and  $f/g$  will contain the other half of the irreducible factors. In this case, there will be two recursive calls and each will be of size roughly  $n/2$ . In such a case the depth of the recursion is expected to be *logarithmic* in  $n/d$ , not linear in  $n/d$ . Thus, the expected running time of the algorithm is  $O(dn^2 \log(q) \log(n/d))$  field operations.

## 1.4 Factoring Squarefull Polynomials

So far, we've assumed that the input polynomial  $f \in \mathbb{F}_q[x]$  to factor is squarefree. In fact, everything in the algorithm we described works if  $f$  is "squarefull" (meaning that it is divisible by an irreducible polynomial more than once) but we need to be a bit careful.

After the distinct-degree factorization step, we will have computed  $g_1, \dots, g_n$  which are each squarefree polynomials because  $x^{q^d} - x$  is a squarefree polynomial. However, the product of the  $g_i$  will not equal  $f$  exactly when  $f$  is squarefull. Instead, we will have  $f = S \cdot \prod_i g_i$  where  $S$  is the duplicated factors of  $f$ .

The input to the equal-degree factorization step will be the  $g_i$ , so nothing changes in the equal-degree step which will still factor the  $g_i$  into their irreducible components.

At the end, we take each irreducible factor of the  $g_i$  and see if divides  $S$  (and if so, how many times). This can be done with repeated quotient and remainder. Since the polynomials involved all have degree at most  $n$  this will be less than the cost of the other parts of the algorithm.

### 1.4.1 Pseudocode for a Complete Factoring Algorithm

Input: Monic  $f \in \mathbb{F}_q[x]$  of degree  $n$

$h := x, f_{\text{orig}} := f$

for  $i$  from 1 to  $n$ :

$h := h^q \bmod f_{\text{orig}}$

$g := \gcd(h - x, f)$

$f := f/g$

Apply equal-degree factorization on  $g$  to write  $g = g_1 \cdots g_k$

Add  $g_1, \dots, g_k$  to the list of irreducible factors

for  $j$  from 1 to  $k$ :

while  $g_j$  divides  $f$ :

$$f := f/g_j$$

Add another copy of  $g_j$  to the list of irreducible factors

Output the list of irreducible factors of  $f$

### 1.4.2 Analysis

The bottleneck of the outer loop in the complete factoring algorithm is the cost of computing the equal-degree factorization  $g = g_1 \cdots g_k$ . During step  $i$  of the outer loop say that the degree of  $g$  is  $m_i$ . Then the equal-degree factorization on step  $i$  will produce the  $m_i/i$  factors  $g_1, \dots, g_{m_i/i}$  and cost of finding these will be an expected  $O(in^2 \log(q) \log(m_i/i))$  field operations.

Note that we have

$$i \log(m_i/i) = m_i \frac{\log(m_i/i)}{m_i/i} \leq m_i, \quad \text{since } \frac{\log x}{x} \leq 1.$$

Thus iteration  $i$  of the loop takes an expected  $O(m_i n^2 \log(q))$  field operations. Because  $\sum_{i=1}^n m_i \leq n$  the total expected running time of the entire algorithm is  $\sum_{i=1}^n O(m_i n^2 \log(q)) = O(n^3 \log(q))$  field operations.

## 1.5 Factoring Polynomials in $\mathbb{Z}[x]$

Lastly, we will see how factoring polynomials in  $\mathbb{F}_q[x]$  can also be used as a basis for factoring polynomials in  $\mathbb{Z}[x]$ . The algorithm we present will have exponential running time, but with some additional cleverness can be made to run in polynomial time.

First, note that to factor polynomials in  $\mathbb{Z}[x]$  actually requires the ability to factor integers. For example, suppose all coefficients of your input polynomial  $f \in \mathbb{Z}[x]$  are divisible by the same number  $N$ . Then in order to write  $f$  as a product of factors where each factor cannot be factored any further requires  $N$  to also be factored. One workaround to this is to consider the factorization problem over  $\mathbb{Q}[x]$  instead of  $\mathbb{Z}[x]$ , since over  $\mathbb{Q}$  every nonzero constant is invertible and cannot be factored further. If we ignore the issue of factoring integer constants, then the factoring problem in  $\mathbb{Q}[x]$  is equivalent to the factoring problem in  $\mathbb{Z}[x]$ . We will sidestep the issue by just assuming that  $f \in \mathbb{Z}[x]$  is monic.

### 1.5.1 Reducing $f \bmod p$

The coefficients of the polynomial  $f \in \mathbb{Z}[x]$  can be reduced modulo  $p$  to form a polynomial  $\bar{f} \in \mathbb{Z}_p[x]$ . Our idea will be to compute  $\bar{f}$  for large enough  $p$ , then factor  $\bar{f}$  over  $\mathbb{Z}_p[x]$ . This will provide a factorization

$$\bar{f} = g_1 \cdots g_k \tag{**}$$

for irreducible polynomials  $\bar{g}_i \in \mathbb{Z}_p[x]$ . If a polynomial is irreducible in  $\mathbb{Z}_p[x]$  this definitely implies it is irreducible in  $\mathbb{Z}[x]$  (because equality in  $\mathbb{Z}$  implies equality in  $\mathbb{Z}_p$ ). However, the converse does not hold: a polynomial might factor *farther* over  $\mathbb{Z}_p$  than it does over  $\mathbb{Z}$ .

Note that if  $p$  is chosen large enough, one can recover a polynomial  $\alpha$  from its reduction  $\bar{\alpha}$  modulo  $p$ . For example, suppose that you know the coefficients of  $\alpha$  are all at most 5 in absolute value and the bar denotes reduction modulo  $p = 11$ . If  $\bar{\alpha} = x^3 - 5x^2 + 5x - 2$  then the coefficients of  $\alpha$  and  $\bar{\alpha}$  must be the same, because any other way of “lifting” the coefficients of  $\mathbb{Z}_p$  to  $\mathbb{Z}$  would introduce

a coefficient  $c$  with  $|c| \geq 6$ . If the polynomial  $\alpha$  we want to recover has a maximum coefficient of absolute value  $N$ , then we choose  $p > 2N$ . Using the “symmetric range”  $\{-(p-1)/2, \dots, (p-1)/2\}$  of residues mod  $p$ , we can capture all of  $\alpha$ 's coefficients exactly mod  $p$ , and therefore will be able to recover the  $\alpha$  from  $\bar{\alpha}$ .

So by taking  $p$  large enough we will be able to recover the coefficients of the factors of  $f$  from their modular reductions—if we can compute their modular reductions. Say  $f_1$  is an irreducible factor of  $f$ . Since  $f \bmod p$  can only factor *farther* than  $f$ , it must be the case that some product of the  $g_i$ s in (\*\*\*) must combine in order to give  $f_1$ , i.e., there is a set  $S \subseteq \{1, \dots, k\}$  such that

$$f_1 = \prod_{i \in S} g_i.$$

If we can find the set  $S$  then we would be able to compute the product  $f_1$  and we can easily test that  $f_1$  is indeed a true factor of  $f$  by checking that  $f \bmod f_1 = 0$ . The problem with this approach is that there seems no easy way to find the set  $S$ . Of course, we can try all possible subsets  $S \subseteq \{1, \dots, k\}$  and figure out which ones yield true factors in  $\mathbb{Z}[x]$ , not  $\mathbb{Z}_p[x]$ . Of course, this requires exponential time in the number of factors.

### 1.5.2 Squarefree Factorization

Incidentally, it is easy to find the squarefree part of a polynomial in  $\mathbb{Z}[x]$  or  $\mathbb{Q}[x]$  (or more generally any field  $\mathbb{F}$  where  $1 + 1 + \dots + 1 \neq 0$  for arbitrary many additions). This is because in  $\mathbb{F}[x]$  a factor divides  $f = \sum_{i \geq 0} a_i x^i \in \mathbb{F}[x]$  more than once if and only if it divides the derivative of  $f$ , defined by  $f' := \sum_{i \geq 1} i a_i x^{i-1}$ .

Thus, the squarefree part of  $f$  can be computed by  $f / \gcd(f, f')$ . You have to be careful over a finite field, as the precondition on the field isn't met (in that case  $1 + 1 + \dots + 1 = 0$  when there are  $p$  ones) and it is possible that  $f' = 0$  even when  $f \neq 0$ . Though even in a finite field it still is the case that  $\gcd(f, f') = 1$  does imply that  $f$  is squarefree.

### 1.5.3 Pseudocode

Input: A squarefree and monic  $f \in \mathbb{Z}[x]$  of degree  $n$  and maximum coefficient in absolute value of  $A$

Let  $p \in [2B, 4B)$  be a random prime where  $B := 2^n A \sqrt{n+1}$

Factor  $\bar{f} \in \mathbb{Z}_p[x]$  as  $g_1 \cdots g_k$  for irreducible  $g_i \pmod{p}$  and write the  $g_i$  as polynomials with coefficients absolutely bounded by  $p/2$

$T := \{1, \dots, k\}$

for all  $S \subseteq T$ , starting with the smallest  $S$ :

$g := \prod_{i \in S} g_i$

if  $f \bmod g = 0$  then

$f := f/g$

$T := T \setminus S$

add  $g$  to the list of irreducible factors

Output the list of irreducible factors of  $f$



#### 1.5.4 Analysis

Unfortunately, the loop may run exponentially many times, since there are  $2^k$  subsets of  $T$ . There is a better method for determining which  $g_i$  combine together to form actual irreducible factors of  $f$ , but it involves more mathematical machinery. In particular, an algorithm of Lenstra, Lenstra, and Lovász from 1982 is able to solve the factoring problem in  $\mathbb{Q}[x]$  in polynomial time in  $\deg(f) = n$  and in the size of the coefficients of  $f$ . At the time this was somewhat surprising, even to the discoverers. Their method is even totally deterministic, which at first seems paradoxical since it relies on the  $\mathbb{Z}_p[x]$  factoring method that uses randomness. This is possible because they are able to show that they can find a prime  $p$  in polynomial time (without relying on randomness) for which the  $\mathbb{Z}_p[x]$  factoring algorithm is *guaranteed* to find the factorization in  $\mathbb{Z}_p[x]$ .